

# W3 Wealth Advisors LLC.

90 N Miller Rd

Akron, OH 44333

(330) 836-3805

March 31, 2019

Part 2B of Form AD

This brochure supplement provides information about **Paulette C. Pasquale**, Investment Advisor Representative, which supplements the W3 Wealth Advisors, LLC ("W3") Form ADV Part 2A Brochure. If you have any questions about the contents of this Brochure, please contact us at (330) 836-3805 or via e-mail at [Frank2@w3wealth.com](mailto:Frank2@w3wealth.com). Please inform **Paulette C. Pasquale** at the contact information listed on page 2 if you did not receive W3's Form ADV Part 2A Brochure or if you have any questions about the contents of this supplement. Additional information about W3 and **Paulette C. Pasquale** is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) and FINRA's website at [www.finra.org/brokercheck](http://www.finra.org/brokercheck).

**Paulette C. Pasquale**

8700 East Market St.  
Suite 7A  
Warren, OH 44484  
330-856-6345

**Date of Birth:** 7/2/1956

**Education:**

- Youngstown State University; Bachelor of Arts – 1981
- Youngstown State University; Master of Business Administration - 1990

**Examinations and Professional Designations:**

- Series 7 - General Securities Representative Examination (1994)
- Series 63 - Uniform Securities Agent State Law Examination (1996)
- Series 65 – Uniform Investment Adviser Law Examination (2014)

Certified Financial Planner™ - CFP® 06/1997

Issuing Organization: Certified Financial Planner Board of Standards, Inc. (CFPBS).

- Prerequisites/Experience Required: Must have a bachelor's degree (or higher) from an accredited college or university, and three years of full-time personal financial planning experience, or two years of apprenticeship experience that meets additional requirements. Educational Requirements: Must complete a CFP® board registered program or hold another designation authorized by the CFPBS. Continuing Education: CFP® professionals must complete 30 hours of continuing education (CE) accepted by CFP Board (including completion of 2 hours of CFP Board approved Ethics CE). See more at: <http://www.cfp.net/home>.

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**Business Experience:**

- Investment Advisor Representative, W3 Wealth Advisors, LLC –8/2016 - Present
- Financial Advisor, W3 Wealth Management – 8/2016 to Present
- Valmark Securities Inc., Registered Representative 8/15/2016 – Present
- Valmark Advisers Inc., Investment Advisor Representative 8/2016 - Present
- Sterne Agee Investment Advisor Services, Inc.; Investment Advisor Representative 09/2014 – 8/14/2016
- Sterne Agee Financial Services, Inc.; Financial Advisor 09/2014 – 8/14/2016
- WRP Investments, Inc.; Registered Rep and Investment Advisor Rep 04/2005 – 09/2014
- Lincoln Financial Advisors Corporation; Financial Advisor 01/1998 – 04/2005

**Disciplinary Information**

Registered Investment Advisers are required to disclose material facts regarding any investment related legal or disciplinary events, including issues involving criminal or civil actions, findings resulting from administrative proceeding before the SEC, a self-regulatory body or any other federal or state regulatory authority that would be material to your evaluation of each supervised person providing investment advice.

Paulette C. Pasquale has no disciplinary record that would impact a client's evaluation of the practice.

**Other Business Activities**

Paulette C. Pasquale is a Registered Representative of Valmark Securities, Inc., a broker dealer under common ownership and management of Valmark Advisers, Inc.

Paulette C. Pasquale is an Investment Advisor Representative of Valmark Advisers, Inc., an SEC registered investment advisor under common ownership and management of Valmark Securities, Inc.

Paulette C. Pasquale is also an insurance agent affiliated with Executive Insurance Agency, Inc., an agency under common ownership and management of Valmark Advisers, Inc.

As a Registered Representative of Valmark Securities, Inc., Paulette C. Pasquale is eligible to receive normal commissions associated with securities sales.

As an Investment Advisory Representative of Valmark Advisers, Inc., Paulette C. Pasquale is eligible to receive advisory fees associated with work performed for customers of that Advisory firm. These fees are separate from fees earned for work with W3 Wealth Advisors LLC.

Paulette C. Pasquale is also eligible to receive insurance commissions surrounding the sales of insurance products sold through the affiliated insurance agency, Executive Insurance Agency, Inc.

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**Additional Compensation**

There is no additional compensation awarded for providing financial planning services, such as sales awards or prizes.

**Supervision**

Before accepting and implementing investment strategies recommended, supervisory principals at W3 review and approve of the investment strategies. Accounts are reviewed periodically, usually at least annually by your advisor. The compliance team also periodically reviews accounts and strategies. These initial and ongoing reviews are under the direction of Chief Compliance Officer, Mr. Frank P. Bevilacqua II who may be reached at (330) 836-3805 or via e-mail at FRANK2@W3WEALTH.COM to discuss questions or concerns in connection with the internal compliance program.

**Information for State Registered Advisors**

Paulette C. Pasquale has no additional information to disclose.