

## W3 Wealth Advisors LLC.

890 Proprietors Road  
Worthington, OH 43085  
(614) 261-0600 x112

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This brochure supplement provides information about **Shawn M. Arseneau**, Investment Advisor Representative, which supplements the W3 Wealth Advisors, LLC ("W3") Form ADV Part 2A Brochure. If you have any questions about the contents of this Brochure, please contact us at (330) 836-3805 or via e-mail [at Frank2@w3wealth.com](mailto:Frank2@w3wealth.com). Please inform **Shawn M. Arseneau** at the contact information listed on page 2 if you did not receive W3's Form ADV Part 2A Brochure or if you have any questions about the contents of this supplement. Additional information about W3 and **Shawn M. Arseneau** is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) and FINRA's website at [www.finra.org/brokercheck](http://www.finra.org/brokercheck).

**Shawn M. Arseneau, CFP®, ChFC®, CEP®**



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**Educational Background and Business Experience**

Year of Birth: 1971

**Education:**

Associates Bible, Lincoln Christian University – 1992

**Examinations and Professional Designations:**

- General Securities Representative Examination- Series 7
- Uniform Investment Adviser Law Examination -Series 65
- CFP® - Certified Financial Planner™
- ChFC®- Chartered Financial Consultant
- CEP®- Certified Estate Planner

**Business Experience:**

- 01/2018 - Present Investment Adviser Representative, W3 Wealth Advisors, LLC.
- 01/2018 - Present Principal, W3 Wealth Management, LLC.
- 07/2015 - Present Registered Representative, Valmark Securities, Inc.
- 07/2015 - Present Investment Advisor Representative, Valmark Advisers, Inc.
- 10/2013 - 07/2015 Managing Member, Gravitas Wealth Partners
- 03/2010 - 06/2015 Managing Director, Eastwind Capital, LLC
- 09/2009 - 02/2010 Founder, A Turn to Hope

**CFP® – CERTIFIED FINANCIAL PLANNER™**

The CFP® designation is offered and recognized by the Certified Financial Planning Board of Standards, Inc. Candidates must have a bachelor's degree (or higher) from an accredited college or university and at least 3 years of full-time personal financial planning experience. Certificants must complete a CFP®-board registered program or hold one of the following designations; CPA, ChFC®, CLU®, CFA®, Ph.D. in business or economics, Doctor of Business Administration, or an Attorney's License. Certificants must also successfully pass a 2-day comprehensive examination and complete 30 hours of continuing education credits every 2 years.

**ChFC® – Chartered Financial Consultant®**

The ChFC® designation is issued by the American College. To earn the professional designation, candidates must complete six core courses and two elective courses and successfully pass a proctored final exam for each course. Candidates must also have at least 3 years of full-time business experience within the 5 years preceding being awarded the designation. Every 2 years, 30 hours of continuing education credits are required.

**CEP® - CERTIFIED ESTATE PLANNER**

The CEP® is a financial, legal or tax professional who has earned a distinctive educational certification through the National Institute of Certified Estate Planners (NICEP).

A CEP® has completed a rigorous eight (8) module course of instruction in the field of estate planning, successfully passed a comprehensive proctored examination process, shown a desire to associate with professional estate planning peers, agreed to comply with annual estate planning continuing education requirements, and ascribed to the practical guidelines and code of ethics of the NICEP.

**Disciplinary Information**

Registered Investment Advisers are required to disclose material facts regarding any investment related legal or disciplinary events, including issues involving criminal or civil actions, findings resulting from administrative proceedings before the SEC, a self-regulatory body or any other federal or state regulatory authority that would be material to your evaluation of each supervised person providing investment advice.

Shawn Arseneau has no disciplinary record that would impact a client's evaluation of the practice.

**Other Business Activities**

Shawn Arseneau is a Registered Representative of Valmark Securities, Inc., a broker dealer under common ownership and management of Valmark Advisers, Inc. As a Registered Representative of Valmark Securities, Inc., Bill is eligible to receive normal commissions associated with securities sales.

Shawn Arseneau is an Investment Advisor Representative of Valmark Advisers, Inc., an SEC registered investment advisor under common ownership and management of Valmark Securities, Inc. As an Investment Advisory Representative of Valmark Advisers, Inc., Bill is eligible to receive advisory fees associated with any work performed for customers of that Advisory firm. These fees are separate from fees earned for work with W3 Wealth Advisors LLC.

Shawn Arseneau is also an insurance agent affiliated with Executive Insurance Agency, Inc., an agency under common ownership and management of Valmark. Shawn is also eligible to receive insurance commissions surrounding the sales of insurance products sold through the affiliated insurance agency, Executive Insurance Agency, Inc.

**Additional Compensation**

There is no additional compensation awarded for providing financial planning services, such as sales awards or prizes.

**Supervision**

Before accepting and implementing investment strategies recommended, supervisory principals at W3 review and approve of the investment strategies. Accounts are reviewed periodically, usually at least annually by your advisor. The compliance team also periodically reviews accounts and strategies. These initial and ongoing reviews are under the direction of Chief Compliance Officer, Mr. Frank P. Bevilacqua II who may be reached at (330) 836-3805 or via e-mail at FRANK2@W3WEALTH.COM to discuss questions or concerns in connection with the internal compliance program.

**Information for State Registered Advisors**

Shawn Arseneau has no additional information to disclose.